

A

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)

**Box Patent Application**  
ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, D.C. 20231

Attorney Docket No.: 062891.0657

11046 U.S. PTO  
09/07/2006  
10/05/01

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Xiaode (nmi) Xu, et al.

For: **SYSTEM AND METHOD FOR HANDOVER EXECUTION IN A  
WIRELESS ENVIRONMENT**

Enclosed are:

- (1) 34 pages of Specification, Claims and Abstract;  
(2) 6 pages of a fully executed Declaration and Power of Attorney;  
(4) 2 pages of Formal Drawings;  
(5) 1 page of an executed Verified Statement Claiming Small Entity

Status under 37 C.F.R. §§ 1.9(f) and .127(c)—Small Business Concern;

(6) 5 pages of an Assignment of the invention to Cisco Technology, Inc.;  
(7) 2 pages of a separate cover sheet in compliance with 37 C.F.R. § 3.28  
and § 3.31 is included with an Assignment with the filing fee of \$40.00 pursuant to 37  
C.F.R. § 1.21(h);

(8) 1 page of an Information Disclosure Statement with PTO-1449 Form  
(   page with    attached reference);  
(9) 1 page of a Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

Form;

(10)    pages of a Preliminary Amendment;  
(11)    pages of a Bibliographic Data Sheet  
(12)    page of Change of Correspondence Address;  
(13) 1 page of a Certificate of Mailing by Express Mail No.:

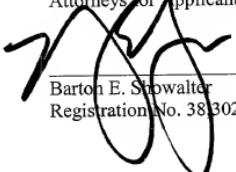
EL 500926328 US; and

(14) 2 pages of this Transmittal Form with attached patent application  
filing fee in the amount of \$1,172.00.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
Total Claims	30	20	10	X \$18 =	\$ 180.00
Independent Claims	6	3	3	X \$84 =	\$ 252.00
TOTAL FILING FEE =					\$1,172.00

Enclosed is a check in the amount of \$1,172.00 for the filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. A duplicate copy of this sheet is enclosed.

BAKER BOTTS L.L.P.  
Attorneys for Applicant

  
Barton E. Showalter  
Registration No. 383302

Date: October 5, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Xiaode (nmi) Xu, et al.  
Filing Date: October 5, 2001  
Title: SYSTEM AND METHOD FOR HANDOVER  
EXECUTION IN A WIRELESS ENVIRONMENT

Box: Patent Application  
Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Filing Fee Transmittal Form (2 pages), Patent Application (34 pages), Formal Drawings (2 pages), check in the amount of \$1,172.00, Declaration and Power of Attorney (6 pages), Assignment Cover Sheet (2 pages), Assignment (5 pages), check in the amount of \$40.00, a Nonpublication Request Form (1 page), a Baker Botts return receipt postcard (1 postcard) and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 5th day of October, 2001 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Levado Hamilton

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No. EL 500926328 US

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

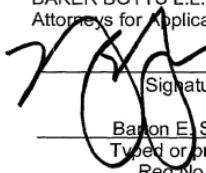
First Named Inventor	Xiaode (nmi) Xu, et al.
Title	System and Method for Handover Execution in a Wireless Environment
Atty Docket Number	062891.0657 – SJ 5073

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 5, 2001

Date

BAKER BOTTS L.L.P.  
Attorneys for Applicant  
  
Signature  
Baron E. Showalter  
Typed or printed name  
Reg No. 38,302

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) and the PTO to process that request. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.